

**Middlefield Mutual Funds Limited - Income Plus Class**

Proxy Voting Record

July 1, 2022 to June 30, 2023

Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	For/Against Management?
AltaGas Ltd.	ALA	021361100	2023-04-28	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
AltaGas Ltd.	ALA	021361100	2023-04-28	Elect Victoria A. Calvert	Mgmt	Voted	For	For
AltaGas Ltd.	ALA	021361100	2023-04-28	Elect David W. Cornhill	Mgmt	Voted	For	For
AltaGas Ltd.	ALA	021361100	2023-04-28	Elect Randall L. Crawford	Mgmt	Voted	For	For
AltaGas Ltd.	ALA	021361100	2023-04-28	Elect Jon-Al Duplantier	Mgmt	Voted	For	For
AltaGas Ltd.	ALA	021361100	2023-04-28	Elect Robert B. Hodgins	Mgmt	Voted	For	For
AltaGas Ltd.	ALA	021361100	2023-04-28	Elect Cynthia Johnston	Mgmt	Voted	For	For
AltaGas Ltd.	ALA	021361100	2023-04-28	Elect Pentti O. Karkkainen	Mgmt	Voted	For	For
AltaGas Ltd.	ALA	021361100	2023-04-28	Elect Phillip R. Knoll	Mgmt	Voted	For	For
AltaGas Ltd.	ALA	021361100	2023-04-28	Elect Linda G. Sullivan	Mgmt	Voted	For	For
AltaGas Ltd.	ALA	021361100	2023-04-28	Elect Nancy G. Tower	Mgmt	Voted	For	For
AltaGas Ltd.	ALA	021361100	2023-04-28	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Apple Inc.	AAPL	037833100	2023-03-10	Elect James A. Bell	Mgmt	Voted	For	For
Apple Inc.	AAPL	037833100	2023-03-10	Elect Timothy D. Cook	Mgmt	Voted	For	For
Apple Inc.	AAPL	037833100	2023-03-10	Elect Albert A. Gore	Mgmt	Voted	For	For
Apple Inc.	AAPL	037833100	2023-03-10	Elect Alex Gorsky	Mgmt	Voted	For	For
Apple Inc.	AAPL	037833100	2023-03-10	Elect Andrea Jung	Mgmt	Voted	For	For
Apple Inc.	AAPL	037833100	2023-03-10	Elect Arthur D. Levinson	Mgmt	Voted	For	For
Apple Inc.	AAPL	037833100	2023-03-10	Elect Monica C. Lozano	Mgmt	Voted	For	For
Apple Inc.	AAPL	037833100	2023-03-10	Elect Ronald D. Sugar	Mgmt	Voted	For	For
Apple Inc.	AAPL	037833100	2023-03-10	Elect Susan L. Wagner	Mgmt	Voted	For	For
Apple Inc.	AAPL	037833100	2023-03-10	Ratification of Auditor	Mgmt	Voted	For	For
Apple Inc.	AAPL	037833100	2023-03-10	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Apple Inc.	AAPL	037833100	2023-03-10	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Apple Inc.	AAPL	037833100	2023-03-10	Shareholder Proposal Regarding Civil Rights Audit	ShrHoldr	Voted	Against	For
Apple Inc.	AAPL	037833100	2023-03-10	Shareholder Proposal Regarding Report on Corporate Operations with China	ShrHoldr	Voted	Against	For
Apple Inc.	AAPL	037833100	2023-03-10	Shareholder Proposal Regarding Shareholder Engagement with the Board	ShrHoldr	Voted	Against	For
Apple Inc.	AAPL	037833100	2023-03-10	Shareholder Proposal Regarding Median Gender and Racial Pay Equity Report	ShrHoldr	Voted	Against	For
Apple Inc.	AAPL	037833100	2023-03-10	Shareholder Proposal Regarding Proxy Access Bylaw Amendment	ShrHoldr	Voted	Against	For
Boardwalk Real Estate Investment Trust	BEI.UN	096631106	2023-05-08	Board Size	Mgmt	Voted	For	For
Boardwalk Real Estate Investment Trust	BEI.UN	096631106	2023-05-08	Elect Mandy Abramsohn	Mgmt	Voted	For	For
Boardwalk Real Estate Investment Trust	BEI.UN	096631106	2023-05-08	Elect Andrea Goertz	Mgmt	Voted	For	For
Boardwalk Real Estate Investment Trust	BEI.UN	096631106	2023-05-08	Elect Gary M. Goodman	Mgmt	Voted	For	For
Boardwalk Real Estate Investment Trust	BEI.UN	096631106	2023-05-08	Elect Sam Kolas	Mgmt	Voted	For	For
Boardwalk Real Estate Investment Trust	BEI.UN	096631106	2023-05-08	Elect Samantha Kolas-Gunn	Mgmt	Voted	For	For
Boardwalk Real Estate Investment Trust	BEI.UN	096631106	2023-05-08	Elect Scott Morrison	Mgmt	Voted	For	For
Boardwalk Real Estate Investment Trust	BEI.UN	096631106	2023-05-08	Elect Brian G. Robinson	Mgmt	Voted	For	For
Boardwalk Real Estate Investment Trust	BEI.UN	096631106	2023-05-08	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Boardwalk Real Estate Investment Trust	BEI.UN	096631106	2023-05-08	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Boardwalk Real Estate Investment Trust	BEI.UN	096631106	2023-05-08	Deferred Share Unit Plan Renewal	Mgmt	Voted	For	For
Canadian National Railway Company	CNR	136375102	2023-04-25	Elect Shauneen Bruder	Mgmt	Voted	For	For
Canadian National Railway Company	CNR	136375102	2023-04-25	Elect Jo-ann dePass Olsovsky	Mgmt	Voted	For	For
Canadian National Railway Company	CNR	136375102	2023-04-25	Elect David Freeman	Mgmt	Voted	For	For
Canadian National Railway Company	CNR	136375102	2023-04-25	Elect Denise Gray	Mgmt	Voted	For	For
Canadian National Railway Company	CNR	136375102	2023-04-25	Elect Justin M. Howell	Mgmt	Voted	For	For
Canadian National Railway Company	CNR	136375102	2023-04-25	Elect Susan C. Jones	Mgmt	Voted	For	For
Canadian National Railway Company	CNR	136375102	2023-04-25	Elect Robert Knight	Mgmt	Voted	For	For
Canadian National Railway Company	CNR	136375102	2023-04-25	Elect Michel Letellier	Mgmt	Voted	For	For
Canadian National Railway Company	CNR	136375102	2023-04-25	Elect Margaret A. McKenzie	Mgmt	Voted	For	For
Canadian National Railway Company	CNR	136375102	2023-04-25	Elect Al Monaco	Mgmt	Voted	For	For
Canadian National Railway Company	CNR	136375102	2023-04-25	Elect Tracy Robinson	Mgmt	Voted	For	For
Canadian National Railway Company	CNR	136375102	2023-04-25	Appointment of Auditor	Mgmt	Voted	For	For
Canadian National Railway Company	CNR	136375102	2023-04-25	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Canadian National Railway Company	CNR	136375102	2023-04-25	Advisory Vote on Climate Action Plan	Mgmt	Voted	For	For
Canadian Natural Resources Limited	CNQ	136385101	2023-05-04	Elect Catherine M. Best	Mgmt	Voted	For	For
Canadian Natural Resources Limited	CNQ	136385101	2023-05-04	Elect M. Elizabeth Cannon	Mgmt	Voted	For	For
Canadian Natural Resources Limited	CNQ	136385101	2023-05-04	Elect N. Murray Edwards	Mgmt	Voted	For	For
Canadian Natural Resources Limited	CNQ	136385101	2023-05-04	Elect Christopher L. Fong	Mgmt	Voted	For	For
Canadian Natural Resources Limited	CNQ	136385101	2023-05-04	Elect Gordon D. Giffin	Mgmt	Voted	For	For

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Canadian Natural Resources Limited	CNQ	136385101	2023-05-04	Elect Wilfred A. Gobert	Mgmt	Voted	For	For
Canadian Natural Resources Limited	CNQ	136385101	2023-05-04	Elect Steve W. Laut	Mgmt	Voted	For	For
Canadian Natural Resources Limited	CNQ	136385101	2023-05-04	Elect Tim S. McKay	Mgmt	Voted	For	For
Canadian Natural Resources Limited	CNQ	136385101	2023-05-04	Elect Francis (Frank) J. McKenna	Mgmt	Voted	For	For
Canadian Natural Resources Limited	CNQ	136385101	2023-05-04	Elect David A. Tuer	Mgmt	Voted	For	For
Canadian Natural Resources Limited	CNQ	136385101	2023-05-04	Elect Annette Verschuren	Mgmt	Voted	For	For
Canadian Natural Resources Limited	CNQ	136385101	2023-05-04	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Canadian Natural Resources Limited	CNQ	136385101	2023-05-04	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Dream Industrial Real Estate Investment Trust	DIR.UN	26153W109	2023-06-06	Elect R. Sacha Bhatia	Mgmt	Voted	For	For
Dream Industrial Real Estate Investment Trust	DIR.UN	26153W109	2023-06-06	Elect Michael J. Cooper	Mgmt	Voted	For	For
Dream Industrial Real Estate Investment Trust	DIR.UN	26153W109	2023-06-06	Elect J. Michael Knowlton	Mgmt	Voted	For	For
Dream Industrial Real Estate Investment Trust	DIR.UN	26153W109	2023-06-06	Elect Ben Mulroney	Mgmt	Voted	For	For
Dream Industrial Real Estate Investment Trust	DIR.UN	26153W109	2023-06-06	Elect Brian Pauls	Mgmt	Voted	For	For
Dream Industrial Real Estate Investment Trust	DIR.UN	26153W109	2023-06-06	Elect Vicky Schiff	Mgmt	Voted	For	For
Dream Industrial Real Estate Investment Trust	DIR.UN	26153W109	2023-06-06	Elect Jennifer Scoffield	Mgmt	Voted	For	For
Dream Industrial Real Estate Investment Trust	DIR.UN	26153W109	2023-06-06	Elect Vincenza Sera	Mgmt	Voted	For	For
Dream Industrial Real Estate Investment Trust	DIR.UN	26153W109	2023-06-06	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Dream Residential Real Estate Investment Trust	DRR.U	26154C102	2023-06-06	Elect Leonard Abramsky	Mgmt	Voted	Withhold	Against
Dream Residential Real Estate Investment Trust	DRR.U	26154C102	2023-06-06	Elect P. Jane Gavan	Mgmt	Voted	For	For
Dream Residential Real Estate Investment Trust	DRR.U	26154C102	2023-06-06	Elect Fahad Khan	Mgmt	Voted	For	For
Dream Residential Real Estate Investment Trust	DRR.U	26154C102	2023-06-06	Elect Brian Pauls	Mgmt	Voted	For	For
Dream Residential Real Estate Investment Trust	DRR.U	26154C102	2023-06-06	Elect Vicky Schiff	Mgmt	Voted	For	For
Dream Residential Real Estate Investment Trust	DRR.U	26154C102	2023-06-06	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Enbridge Inc.	ENB	29250N105	2023-05-03	Elect Mayank M. Ashar	Mgmt	Voted	For	For
Enbridge Inc.	ENB	29250N105	2023-05-03	Elect Gaurdie E. Banister	Mgmt	Voted	For	For
Enbridge Inc.	ENB	29250N105	2023-05-03	Elect Pamela L. Carter	Mgmt	Voted	For	For
Enbridge Inc.	ENB	29250N105	2023-05-03	Elect Susan M. Cunningham	Mgmt	Voted	For	For
Enbridge Inc.	ENB	29250N105	2023-05-03	Elect Gregory L. Ebel	Mgmt	Voted	For	For
Enbridge Inc.	ENB	29250N105	2023-05-03	Elect Jason B. Few	Mgmt	Voted	For	For
Enbridge Inc.	ENB	29250N105	2023-05-03	Elect Teresa S. Madden	Mgmt	Voted	For	For
Enbridge Inc.	ENB	29250N105	2023-05-03	Elect Stephen S. Poloz	Mgmt	Voted	For	For
Enbridge Inc.	ENB	29250N105	2023-05-03	Elect S. Jane Rowe	Mgmt	Voted	For	For
Enbridge Inc.	ENB	29250N105	2023-05-03	Elect Dan C. Tutcher	Mgmt	Voted	For	For
Enbridge Inc.	ENB	29250N105	2023-05-03	Elect Steven W. Williams	Mgmt	Voted	For	For
Enbridge Inc.	ENB	29250N105	2023-05-03	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Enbridge Inc.	ENB	29250N105	2023-05-03	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Enbridge Inc.	ENB	29250N105	2023-05-03	Shareholder Rights Plan Renewal	Mgmt	Voted	For	For
Enbridge Inc.	ENB	29250N105	2023-05-03	Shareholder Proposal Regarding Lobbying and Political Donations Alignment with Net Zero Goa	ShrHoldr	Voted	Against	For
Enbridge Inc.	ENB	29250N105	2023-05-03	Shareholder Proposal Regarding Disclosure of All Scope 3 Emission:	ShrHoldr	Voted	Against	For
Headwater Exploration Inc.	HWX	422096107	2023-05-11	Elect Devery Corbin	Mgmt	Voted	For	For
Headwater Exploration Inc.	HWX	422096107	2023-05-11	Elect Elena Dumitrascu	Mgmt	Voted	For	For
Headwater Exploration Inc.	HWX	422096107	2023-05-11	Elect Chandra Henry	Mgmt	Voted	For	For
Headwater Exploration Inc.	HWX	422096107	2023-05-11	Elect Jason Jaskela	Mgmt	Voted	For	For
Headwater Exploration Inc.	HWX	422096107	2023-05-11	Elect Phillip R. Knoll	Mgmt	Voted	For	For
Headwater Exploration Inc.	HWX	422096107	2023-05-11	Elect Stephen P. Larke	Mgmt	Voted	For	For
Headwater Exploration Inc.	HWX	422096107	2023-05-11	Elect Kevin Olson	Mgmt	Voted	For	For
Headwater Exploration Inc.	HWX	422096107	2023-05-11	Elect David Pearce	Mgmt	Voted	For	For
Headwater Exploration Inc.	HWX	422096107	2023-05-11	Elect Neil Roszell	Mgmt	Voted	For	For
Headwater Exploration Inc.	HWX	422096107	2023-05-11	Elect Kam Sandhar	Mgmt	Voted	For	For
Headwater Exploration Inc.	HWX	422096107	2023-05-11	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Headwater Exploration Inc.	HWX	422096107	2023-05-11	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Huntington Bancshares Incorporated	HBAN	446150104	2023-04-19	Elect Alanna Y. Cotton	Mgmt	Voted	For	For
Huntington Bancshares Incorporated	HBAN	446150104	2023-04-19	Elect Ann B. Crane	Mgmt	Voted	For	For
Huntington Bancshares Incorporated	HBAN	446150104	2023-04-19	Elect Gina D. France	Mgmt	Voted	For	For
Huntington Bancshares Incorporated	HBAN	446150104	2023-04-19	Elect J. Michael Hochschwender	Mgmt	Voted	For	For
Huntington Bancshares Incorporated	HBAN	446150104	2023-04-19	Elect Richard H. King	Mgmt	Voted	For	For
Huntington Bancshares Incorporated	HBAN	446150104	2023-04-19	Elect Katherine M.A. Kline	Mgmt	Voted	For	For
Huntington Bancshares Incorporated	HBAN	446150104	2023-04-19	Elect Richard W. Neu	Mgmt	Voted	For	For
Huntington Bancshares Incorporated	HBAN	446150104	2023-04-19	Elect Kenneth J. Phelan	Mgmt	Voted	For	For

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Huntington Bancshares Incorporated	HBAN	446150104	2023-04-19	Elect David L. Porteous	Mgmt	Voted	For	For
Huntington Bancshares Incorporated	HBAN	446150104	2023-04-19	Elect Roger J. Sit	Mgmt	Voted	For	For
Huntington Bancshares Incorporated	HBAN	446150104	2023-04-19	Elect Stephen D. Steinour	Mgmt	Voted	For	For
Huntington Bancshares Incorporated	HBAN	446150104	2023-04-19	Elect Jeffrey L. Tate	Mgmt	Voted	For	For
Huntington Bancshares Incorporated	HBAN	446150104	2023-04-19	Elect Gary Torgow	Mgmt	Voted	For	For
Huntington Bancshares Incorporated	HBAN	446150104	2023-04-19	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Huntington Bancshares Incorporated	HBAN	446150104	2023-04-19	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Huntington Bancshares Incorporated	HBAN	446150104	2023-04-19	Ratification of Auditor	Mgmt	Voted	For	For
Metallum Resources Inc.	MZN	59125A103	2023-05-10	Elect Adam Kiley	Mgmt	Voted	For	For
Metallum Resources Inc.	MZN	59125A103	2023-05-10	Elect Mark Hanlon	Mgmt	Voted	For	For
Metallum Resources Inc.	MZN	59125A103	2023-05-10	Elect Paul Manias	Mgmt	Voted	For	For
Metallum Resources Inc.	MZN	59125A103	2023-05-10	Elect Tony Wonnacott	Mgmt	Voted	For	For
Metallum Resources Inc.	MZN	59125A103	2023-05-10	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Metallum Resources Inc.	MZN	59125A103	2023-05-10	Reverse Merger with Waroona Energy Pty Ltd	Mgmt	Voted	Against	Against
Metallum Resources Inc.	MZN	59125A103	2023-05-10	Approval of the Long-Term Incentive Plan	Mgmt	Voted	For	For
Metallum Resources Inc.	MZN	59125A103	2023-05-10	Company Name Change	Mgmt	Voted	For	For
Microsoft Corporation	MSFT	594918104	2022-12-13	Elect Reid G. Hoffman	Mgmt	Voted	For	For
Microsoft Corporation	MSFT	594918104	2022-12-13	Elect Hugh F. Johnston	Mgmt	Voted	For	For
Microsoft Corporation	MSFT	594918104	2022-12-13	Elect Teri L. List	Mgmt	Voted	For	For
Microsoft Corporation	MSFT	594918104	2022-12-13	Elect Satya Nadella	Mgmt	Voted	For	For
Microsoft Corporation	MSFT	594918104	2022-12-13	Elect Sandra E. Peterson	Mgmt	Voted	For	For
Microsoft Corporation	MSFT	594918104	2022-12-13	Elect Penny S. Pritzker	Mgmt	Voted	For	For
Microsoft Corporation	MSFT	594918104	2022-12-13	Elect Carlos A. Rodriguez	Mgmt	Voted	For	For
Microsoft Corporation	MSFT	594918104	2022-12-13	Elect Charles W. Scharf	Mgmt	Voted	For	For
Microsoft Corporation	MSFT	594918104	2022-12-13	Elect John W. Stanton	Mgmt	Voted	For	For
Microsoft Corporation	MSFT	594918104	2022-12-13	Elect John W. Thompson	Mgmt	Voted	For	For
Microsoft Corporation	MSFT	594918104	2022-12-13	Elect Emma N. Walmsley	Mgmt	Voted	For	For
Microsoft Corporation	MSFT	594918104	2022-12-13	Elect Padmasree Warrior	Mgmt	Voted	For	For
Microsoft Corporation	MSFT	594918104	2022-12-13	Advisory Vote on Executive Compensation	Mgmt	Voted	Against	Against
Microsoft Corporation	MSFT	594918104	2022-12-13	Ratification of Auditor	Mgmt	Voted	For	For
Microsoft Corporation	MSFT	594918104	2022-12-13	Shareholder Proposal Regarding Cost Benefit Analysis of Global Diversity and Inclusion Efforts	ShrHoldr	Voted	Against	For
Microsoft Corporation	MSFT	594918104	2022-12-13	Shareholder Proposal Regarding Report on Hiring Practices	ShrHoldr	Voted	Against	For
Microsoft Corporation	MSFT	594918104	2022-12-13	Shareholder Proposal Regarding Managing Climate Risk in Employee Retirement Options	ShrHoldr	Voted	Against	For
Microsoft Corporation	MSFT	594918104	2022-12-13	Shareholder Proposal Regarding Report on Government Use of Technology	ShrHoldr	Voted	For	Against
Microsoft Corporation	MSFT	594918104	2022-12-13	Shareholder Proposal Regarding Risks of Developing Military Weapons	ShrHoldr	Voted	Against	For
Microsoft Corporation	MSFT	594918104	2022-12-13	Shareholder Proposal Regarding Report on Tax Transparency	ShrHoldr	Voted	For	Against
Morgan Stanley	MS	617446448	2023-05-19	Elect Alistair Darling	Mgmt	Voted	For	For
Morgan Stanley	MS	617446448	2023-05-19	Elect Thomas H. Glocer	Mgmt	Voted	For	For
Morgan Stanley	MS	617446448	2023-05-19	Elect James P. Gorman	Mgmt	Voted	For	For
Morgan Stanley	MS	617446448	2023-05-19	Elect Robert H. Herz	Mgmt	Voted	For	For
Morgan Stanley	MS	617446448	2023-05-19	Elect Erika H. James	Mgmt	Voted	For	For
Morgan Stanley	MS	617446448	2023-05-19	Elect Hironori Kamezawa	Mgmt	Voted	For	For
Morgan Stanley	MS	617446448	2023-05-19	Elect Shelley B. Leibowitz	Mgmt	Voted	For	For
Morgan Stanley	MS	617446448	2023-05-19	Elect Stephen J. Luczo	Mgmt	Voted	For	For
Morgan Stanley	MS	617446448	2023-05-19	Elect Judith A. Miscik	Mgmt	Voted	For	For
Morgan Stanley	MS	617446448	2023-05-19	Elect Masato Miyachi	Mgmt	Voted	For	For
Morgan Stanley	MS	617446448	2023-05-19	Elect Dennis M. Nally	Mgmt	Voted	For	For
Morgan Stanley	MS	617446448	2023-05-19	Elect Mary L. Schapiro	Mgmt	Voted	For	For
Morgan Stanley	MS	617446448	2023-05-19	Elect Perry M. Traquina	Mgmt	Voted	For	For
Morgan Stanley	MS	617446448	2023-05-19	Elect Rayford Wilkins Jr.	Mgmt	Voted	For	For
Morgan Stanley	MS	617446448	2023-05-19	Ratification of Auditor	Mgmt	Voted	For	For
Morgan Stanley	MS	617446448	2023-05-19	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Morgan Stanley	MS	617446448	2023-05-19	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Morgan Stanley	MS	617446448	2023-05-19	Shareholder Proposal Regarding Right to Call Special Meeting	ShrHoldr	Voted	For	Against
Morgan Stanley	MS	617446448	2023-05-19	Shareholder Proposal Regarding Fossil Fuel Lending and Underwriting Policy	ShrHoldr	Voted	Against	For
Mullen Group Ltd.	MTL	625284104	2023-05-03	Board Size	Mgmt	Voted	For	For
Mullen Group Ltd.	MTL	625284104	2023-05-03	Elect Christine McGinley	Mgmt	Voted	For	For
Mullen Group Ltd.	MTL	625284104	2023-05-03	Elect Stephen H. Lockwood	Mgmt	Voted	For	For
Mullen Group Ltd.	MTL	625284104	2023-05-03	Elect David E. Mullen	Mgmt	Voted	For	For

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Mullen Group Ltd.	MTL	625284104	2023-05-03	Elect Murray K. Mullen	Mgmt	Voted	For	For
Mullen Group Ltd.	MTL	625284104	2023-05-03	Elect Sonia Tibbatts	Mgmt	Voted	Withhold	Against
Mullen Group Ltd.	MTL	625284104	2023-05-03	Elect Jamil Murji	Mgmt	Voted	For	For
Mullen Group Ltd.	MTL	625284104	2023-05-03	Elect Richard Whitely	Mgmt	Voted	For	For
Mullen Group Ltd.	MTL	625284104	2023-05-03	Elect Benoit Durand	Mgmt	Voted	For	For
Mullen Group Ltd.	MTL	625284104	2023-05-03	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Mullen Group Ltd.	MTL	625284104	2023-05-03	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Northland Power Inc.	NPI	666511100	2023-05-18	Elect John W. Brace	Mgmt	Voted	For	For
Northland Power Inc.	NPI	666511100	2023-05-18	Elect Linda L. Bertoldi	Mgmt	Voted	For	For
Northland Power Inc.	NPI	666511100	2023-05-18	Elect Lisa Colnett	Mgmt	Voted	For	For
Northland Power Inc.	NPI	666511100	2023-05-18	Elect Kevin Glass	Mgmt	Voted	For	For
Northland Power Inc.	NPI	666511100	2023-05-18	Elect Russell Goodman	Mgmt	Voted	For	For
Northland Power Inc.	NPI	666511100	2023-05-18	Elect Keith R. Halbert	Mgmt	Voted	For	For
Northland Power Inc.	NPI	666511100	2023-05-18	Elect Helen Mallovy Hicks	Mgmt	Voted	For	For
Northland Power Inc.	NPI	666511100	2023-05-18	Elect Ian W. Pearce	Mgmt	Voted	For	For
Northland Power Inc.	NPI	666511100	2023-05-18	Elect Eckhardt Ruemmler	Mgmt	Voted	For	For
Northland Power Inc.	NPI	666511100	2023-05-18	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Northland Power Inc.	NPI	666511100	2023-05-18	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
QUALCOMM Incorporated	QCOM	747525103	2023-03-08	Elect Sylvia Acevedo	Mgmt	Voted	For	For
QUALCOMM Incorporated	QCOM	747525103	2023-03-08	Elect Cristiano R. Amon	Mgmt	Voted	For	For
QUALCOMM Incorporated	QCOM	747525103	2023-03-08	Elect Mark Fields	Mgmt	Voted	For	For
QUALCOMM Incorporated	QCOM	747525103	2023-03-08	Elect Jeffrey W. Henderson	Mgmt	Voted	For	For
QUALCOMM Incorporated	QCOM	747525103	2023-03-08	Elect Gregory N. Johnson	Mgmt	Voted	For	For
QUALCOMM Incorporated	QCOM	747525103	2023-03-08	Elect Ann M. Livermore	Mgmt	Voted	For	For
QUALCOMM Incorporated	QCOM	747525103	2023-03-08	Elect Mark D. McLaughlin	Mgmt	Voted	For	For
QUALCOMM Incorporated	QCOM	747525103	2023-03-08	Elect Jamie S. Miller	Mgmt	Voted	For	For
QUALCOMM Incorporated	QCOM	747525103	2023-03-08	Elect Irene B. Rosenfeld	Mgmt	Voted	For	For
QUALCOMM Incorporated	QCOM	747525103	2023-03-08	Elect Kornelis Smit	Mgmt	Voted	For	For
QUALCOMM Incorporated	QCOM	747525103	2023-03-08	Elect Jean-Pascal Tricoire	Mgmt	Voted	For	For
QUALCOMM Incorporated	QCOM	747525103	2023-03-08	Elect Anthony J. Vincierra	Mgmt	Voted	For	For
QUALCOMM Incorporated	QCOM	747525103	2023-03-08	Ratification of Auditor	Mgmt	Voted	For	For
QUALCOMM Incorporated	QCOM	747525103	2023-03-08	Approval of the 2023 Long-Term Incentive Plan	Mgmt	Voted	For	For
QUALCOMM Incorporated	QCOM	747525103	2023-03-08	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Regions Financial Corporation	RF	7591EP100	2023-04-19	Elect Mark. A Crosswhite	Mgmt	Voted	For	For
Regions Financial Corporation	RF	7591EP100	2023-04-19	Elect Noopur Davis	Mgmt	Voted	For	For
Regions Financial Corporation	RF	7591EP100	2023-04-19	Elect Zhanna Golodryga	Mgmt	Voted	Against	Against
Regions Financial Corporation	RF	7591EP100	2023-04-19	Elect J. Thomas Hill	Mgmt	Voted	For	For
Regions Financial Corporation	RF	7591EP100	2023-04-19	Elect John D. Johns	Mgmt	Voted	For	For
Regions Financial Corporation	RF	7591EP100	2023-04-19	Elect Joia M. Johnson	Mgmt	Voted	For	For
Regions Financial Corporation	RF	7591EP100	2023-04-19	Elect Ruth Ann Marshall	Mgmt	Voted	For	For
Regions Financial Corporation	RF	7591EP100	2023-04-19	Elect Charles D. McCrary	Mgmt	Voted	For	For
Regions Financial Corporation	RF	7591EP100	2023-04-19	Elect James T. Prokopanko	Mgmt	Voted	For	For
Regions Financial Corporation	RF	7591EP100	2023-04-19	Elect Lee J. Styslinger III	Mgmt	Voted	For	For
Regions Financial Corporation	RF	7591EP100	2023-04-19	Elect Jose S. Suquet	Mgmt	Voted	For	For
Regions Financial Corporation	RF	7591EP100	2023-04-19	Elect John M. Turner, Jr.	Mgmt	Voted	For	For
Regions Financial Corporation	RF	7591EP100	2023-04-19	Elect Timothy Vines	Mgmt	Voted	For	For
Regions Financial Corporation	RF	7591EP100	2023-04-19	Ratification of Auditor	Mgmt	Voted	For	For
Regions Financial Corporation	RF	7591EP100	2023-04-19	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Secure Energy Services Inc.	SES	81373C102	2023-04-28	Elect Rene Amirault	Mgmt	Voted	For	For
Secure Energy Services Inc.	SES	81373C102	2023-04-28	Elect Mark R. Bly	Mgmt	Voted	For	For
Secure Energy Services Inc.	SES	81373C102	2023-04-28	Elect Michael H. Dilger	Mgmt	Voted	For	For
Secure Energy Services Inc.	SES	81373C102	2023-04-28	Elect Wendy L. Hanrahan	Mgmt	Voted	For	For
Secure Energy Services Inc.	SES	81373C102	2023-04-28	Elect Joseph Lenz	Mgmt	Voted	For	For
Secure Energy Services Inc.	SES	81373C102	2023-04-28	Elect Bradley Munro	Mgmt	Voted	For	For
Secure Energy Services Inc.	SES	81373C102	2023-04-28	Elect Susan L. Riddell Rose	Mgmt	Voted	For	For
Secure Energy Services Inc.	SES	81373C102	2023-04-28	Elect Deanna Zumwalt	Mgmt	Voted	For	For
Secure Energy Services Inc.	SES	81373C102	2023-04-28	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Secure Energy Services Inc.	SES	81373C102	2023-04-28	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Tidewater Midstream and Infrastructure Ltd.	TWM	886453109	2023-05-31	Board Size	Mgmt	Voted	For	For

**Middlefield Mutual Funds Limited - Income Plus Class**

Proxy Voting Record

July 1, 2022 to June 30, 2023

Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	For/Against Management?
Tidewater Midstream and Infrastructure Ltd.	TWM	886453109	2023-05-31	Elect Thomas Dea	Mgmt	Voted	For	For
Tidewater Midstream and Infrastructure Ltd.	TWM	886453109	2023-05-31	Elect Robert Colcleugh	Mgmt	Voted	For	For
Tidewater Midstream and Infrastructure Ltd.	TWM	886453109	2023-05-31	Elect Doug Fraser	Mgmt	Voted	For	For
Tidewater Midstream and Infrastructure Ltd.	TWM	886453109	2023-05-31	Elect Margaret A. Raymond	Mgmt	Voted	Withhold	Against
Tidewater Midstream and Infrastructure Ltd.	TWM	886453109	2023-05-31	Elect Michael J. Salamon	Mgmt	Voted	For	For
Tidewater Midstream and Infrastructure Ltd.	TWM	886453109	2023-05-31	Elect Neil McCarron	Mgmt	Voted	Withhold	Against
Tidewater Midstream and Infrastructure Ltd.	TWM	886453109	2023-05-31	Elect Gail Yester	Mgmt	Voted	For	For
Tidewater Midstream and Infrastructure Ltd.	TWM	886453109	2023-05-31	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Tidewater Midstream and Infrastructure Ltd.	TWM	886453109	2023-05-31	Amendment to the Stock Option Plan	Mgmt	Voted	For	For
Tidewater Midstream and Infrastructure Ltd.	TWM	886453109	2023-05-31	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Topaz Energy Corp.	TPZ	89055A203	2023-06-14	Board Size	Mgmt	Voted	For	For
Topaz Energy Corp.	TPZ	89055A203	2023-06-14	Elect Michael L. Rose	Mgmt	Voted	For	For
Topaz Energy Corp.	TPZ	89055A203	2023-06-14	Elect Marty Staples	Mgmt	Voted	For	For
Topaz Energy Corp.	TPZ	89055A203	2023-06-14	Elect Tanya Causgrove	Mgmt	Voted	For	For
Topaz Energy Corp.	TPZ	89055A203	2023-06-14	Elect Jim Davidson	Mgmt	Voted	For	For
Topaz Energy Corp.	TPZ	89055A203	2023-06-14	Elect John E. Gordon	Mgmt	Voted	For	For
Topaz Energy Corp.	TPZ	89055A203	2023-06-14	Elect Darlene Harris	Mgmt	Voted	For	For
Topaz Energy Corp.	TPZ	89055A203	2023-06-14	Elect Steve Larke	Mgmt	Voted	For	For
Topaz Energy Corp.	TPZ	89055A203	2023-06-14	Elect Brian G. Robinson	Mgmt	Voted	For	For
Topaz Energy Corp.	TPZ	89055A203	2023-06-14	Appointment of Auditor	Mgmt	Voted	For	For
Topaz Energy Corp.	TPZ	89055A203	2023-06-14	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Topaz Energy Corp.	TPZ	89055A203	2023-06-14	Approval of Unallocated Options Under the Share Option Plan	Mgmt	Voted	For	For
Torr Metals Inc.	TMET	891337107	2023-03-14	Board Size	Mgmt	Voted	For	For
Torr Metals Inc.	TMET	891337107	2023-03-14	Elect Malcolm Dorsey	Mgmt	Voted	For	For
Torr Metals Inc.	TMET	891337107	2023-03-14	Elect Sean Mager	Mgmt	Voted	Withhold	Against
Torr Metals Inc.	TMET	891337107	2023-03-14	Elect Nicholas Stajduhar	Mgmt	Voted	Withhold	Against
Torr Metals Inc.	TMET	891337107	2023-03-14	Elect Ewan Webster	Mgmt	Voted	Withhold	Against
Torr Metals Inc.	TMET	891337107	2023-03-14	Elect John Williamson	Mgmt	Voted	Withhold	Against
Torr Metals Inc.	TMET	891337107	2023-03-14	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Torr Metals Inc.	TMET	891337107	2023-03-14	Stock Option Plan Renewal	Mgmt	Voted	For	For
Torr Metals Inc.	TMET	891337107	2023-03-14	Adoption of the Restricted Share Unit Plan	Mgmt	Voted	Against	Against
Tourmaline Oil Corp.	TOU	89156V106	2023-06-07	Elect Michael L. Rose	Mgmt	Voted	For	For
Tourmaline Oil Corp.	TOU	89156V106	2023-06-07	Elect Brian G. Robinson	Mgmt	Voted	Withhold	Against
Tourmaline Oil Corp.	TOU	89156V106	2023-06-07	Elect Jill T. Angevine	Mgmt	Voted	For	For
Tourmaline Oil Corp.	TOU	89156V106	2023-06-07	Elect William D. Armstrong	Mgmt	Voted	For	For
Tourmaline Oil Corp.	TOU	89156V106	2023-06-07	Elect Lee A. Baker	Mgmt	Voted	For	For
Tourmaline Oil Corp.	TOU	89156V106	2023-06-07	Elect John W. Elick	Mgmt	Voted	For	For
Tourmaline Oil Corp.	TOU	89156V106	2023-06-07	Elect Andrew B. MacDonald	Mgmt	Voted	For	For
Tourmaline Oil Corp.	TOU	89156V106	2023-06-07	Elect Lucy M. Miller	Mgmt	Voted	For	For
Tourmaline Oil Corp.	TOU	89156V106	2023-06-07	Elect Janet L. Weiss	Mgmt	Voted	For	For
Tourmaline Oil Corp.	TOU	89156V106	2023-06-07	Elect Ronald C. Wigham	Mgmt	Voted	For	For
Tourmaline Oil Corp.	TOU	89156V106	2023-06-07	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Tourmaline Oil Corp.	TOU	89156V106	2023-06-07	Share Option Plan Renewal	Mgmt	Voted	For	For
TransAlta Corporation	TA	89346D107	2023-04-28	Elect Rona H. Ambrose	Mgmt	Voted	For	For
TransAlta Corporation	TA	89346D107	2023-04-28	Elect John P. Dielwart	Mgmt	Voted	For	For
TransAlta Corporation	TA	89346D107	2023-04-28	Elect Alan J. Fohrer	Mgmt	Voted	For	For
TransAlta Corporation	TA	89346D107	2023-04-28	Elect Laura W. Folse	Mgmt	Voted	For	For
TransAlta Corporation	TA	89346D107	2023-04-28	Elect Harry A. Goldgut	Mgmt	Voted	For	For
TransAlta Corporation	TA	89346D107	2023-04-28	Elect John H. Kousinioris	Mgmt	Voted	For	For
TransAlta Corporation	TA	89346D107	2023-04-28	Elect Candace J. MacGibbon	Mgmt	Voted	For	For
TransAlta Corporation	TA	89346D107	2023-04-28	Elect Thomas M. O'Flynn	Mgmt	Voted	For	For
TransAlta Corporation	TA	89346D107	2023-04-28	Elect Bryan D. Pinney	Mgmt	Voted	For	For
TransAlta Corporation	TA	89346D107	2023-04-28	Elect James Reid	Mgmt	Voted	For	For
TransAlta Corporation	TA	89346D107	2023-04-28	Elect Manjit K. Sharma	Mgmt	Voted	For	For
TransAlta Corporation	TA	89346D107	2023-04-28	Elect Sandra R. Sharman	Mgmt	Voted	For	For
TransAlta Corporation	TA	89346D107	2023-04-28	Elect Sarah A. Slusser	Mgmt	Voted	For	For
TransAlta Corporation	TA	89346D107	2023-04-28	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
TransAlta Corporation	TA	89346D107	2023-04-28	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
TransAlta Corporation	TA	89346D107	2023-04-28	Amendment to the Share Unit Plan	Mgmt	Voted	For	For

**Middlefield Mutual Funds Limited - Income Plus Class**

Proxy Voting Record

July 1, 2022 to June 30, 2023

Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	For/Against Management?
Westshore Terminals Investment Corporation	WTE	96145A200	2023-06-13	Elect William W. Stinson	Mgmt	Voted	For	For
Westshore Terminals Investment Corporation	WTE	96145A200	2023-06-13	Elect M. Dallas H. Ross	Mgmt	Voted	Withhold	Against
Westshore Terminals Investment Corporation	WTE	96145A200	2023-06-13	Elect Brian Canfield	Mgmt	Voted	Withhold	Against
Westshore Terminals Investment Corporation	WTE	96145A200	2023-06-13	Elect Glen D. Clark	Mgmt	Voted	For	For
Westshore Terminals Investment Corporation	WTE	96145A200	2023-06-13	Elect H. Clark Hollands	Mgmt	Voted	For	For
Westshore Terminals Investment Corporation	WTE	96145A200	2023-06-13	Elect Nick Desmarais	Mgmt	Voted	For	For
Westshore Terminals Investment Corporation	WTE	96145A200	2023-06-13	Elect Steve Akazawa	Mgmt	Voted	For	For
Westshore Terminals Investment Corporation	WTE	96145A200	2023-06-13	Elect Dianne L. Watts	Mgmt	Voted	For	For
Westshore Terminals Investment Corporation	WTE	96145A200	2023-06-13	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Whitecap Resources Inc.	WCP	96467A200	2023-05-17	Board Size	Mgmt	Voted	For	For
Whitecap Resources Inc.	WCP	96467A200	2023-05-17	Elect Mary-Jo Case	Mgmt	Voted	For	For
Whitecap Resources Inc.	WCP	96467A200	2023-05-17	Elect Grant B. Fagerheim	Mgmt	Voted	For	For
Whitecap Resources Inc.	WCP	96467A200	2023-05-17	Elect Daryl H. Gilbert	Mgmt	Voted	For	For
Whitecap Resources Inc.	WCP	96467A200	2023-05-17	Elect Chandra A. Henry	Mgmt	Voted	For	For
Whitecap Resources Inc.	WCP	96467A200	2023-05-17	Elect Vineeta Maguire	Mgmt	Voted	For	For
Whitecap Resources Inc.	WCP	96467A200	2023-05-17	Elect Glenn A. McNamara	Mgmt	Voted	For	For
Whitecap Resources Inc.	WCP	96467A200	2023-05-17	Elect Stephen C. Nikiforuk	Mgmt	Voted	For	For
Whitecap Resources Inc.	WCP	96467A200	2023-05-17	Elect Kenneth Stickland	Mgmt	Voted	For	For
Whitecap Resources Inc.	WCP	96467A200	2023-05-17	Elect Bradley J. Wall	Mgmt	Voted	For	For
Whitecap Resources Inc.	WCP	96467A200	2023-05-17	Elect Grant A. Zawalsky	Mgmt	Voted	For	For
Whitecap Resources Inc.	WCP	96467A200	2023-05-17	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Whitecap Resources Inc.	WCP	96467A200	2023-05-17	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For