

Middlefield Healthcare Dividend ETF

Proxy Voting Record

July 1, 2022 to June 30, 2023

Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	ForAgainst Management?
Abbott Laboratories	ABT	002824100	2023-04-28	Elect Robert J. Alpern	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2023-04-28	Elect Claire Babineaux-Fontenot	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2023-04-28	Elect Sally E. Blount	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2023-04-28	Elect Robert B. Ford	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2023-04-28	Elect Paola Gonzalez	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2023-04-28	Elect Michelle A. Kumbier	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2023-04-28	Elect Darren W. McDew	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2023-04-28	Elect Nancy McKinstry	Mgmt	Voted	Against	Against
Abbott Laboratories	ABT	002824100	2023-04-28	Elect Michael G. O'Grady	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2023-04-28	Elect Michael F. Roman	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2023-04-28	Elect Daniel J. Starks	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2023-04-28	Elect John G. Stratton	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2023-04-28	Ratification of Auditor	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2023-04-28	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2023-04-28	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Abbott Laboratories	ABT	002824100	2023-04-28	Shareholder Proposal Regarding Right to Call Special Meetings	ShrHoldr	Voted	Against	For
Abbott Laboratories	ABT	002824100	2023-04-28	Shareholder Proposal Regarding Independent Chair	ShrHoldr	Voted	For	Against
Abbott Laboratories	ABT	002824100	2023-04-28	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	For	Against
Abbott Laboratories	ABT	002824100	2023-04-28	Shareholder Proposal Prohibiting Adjustments for Legal and Compliance Costs	ShrHoldr	Voted	For	Against
AbbVie Inc.	ABBV	00287Y109	2023-05-05	Elect Robert J. Alpern	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2023-05-05	Elect Melody B. Meyer	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2023-05-05	Elect Frederick H. Waddell	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2023-05-05	Ratification of Auditor	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2023-05-05	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2023-05-05	Elimination of Supermajority Requirement	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2023-05-05	Shareholder Proposal Regarding Simple Majority Vote	ShrHoldr	Voted	Against	For
AbbVie Inc.	ABBV	00287Y109	2023-05-05	Shareholder Proposal Regarding Report on Political Expenditures and Values Congruency	ShrHoldr	Voted	Against	For
AbbVie Inc.	ABBV	00287Y109	2023-05-05	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	Against	For
AbbVie Inc.	ABBV	00287Y109	2023-05-05	Shareholder Proposal Regarding Extended Patent Exclusivities and Application for Secondary and Tertiary Patents	ShrHoldr	Voted	Against	For
AMGEN Inc.	AMGN	031162100	2023-05-19	Elect Wanda M. Austin	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2023-05-19	Elect Robert A. Bradway	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2023-05-19	Elect Michael V. Drake	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2023-05-19	Elect Brian J. Druker	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2023-05-19	Elect Robert A. Eckert	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2023-05-19	Elect Greg C. Garland	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2023-05-19	Elect Charles M. Holley, Jr.	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2023-05-19	Elect S. Omar Ishrak	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2023-05-19	Elect Tyler Jacks	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2023-05-19	Elect Ellen J. Kullman	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2023-05-19	Elect Amy E. Miles	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2023-05-19	Elect Ronald D. Sugar	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2023-05-19	Elect R. Sanders Williams	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2023-05-19	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
AMGEN Inc.	AMGN	031162100	2023-05-19	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2023-05-19	Ratification of Auditor	Mgmt	Voted	For	For
Ascendis Pharma A/S	ASND	04351P101	2023-05-30	Election of Presiding Chair	Mgmt	Voted	For	For
Ascendis Pharma A/S	ASND	04351P101	2023-05-30	Presentation of Accounts and Reports	Mgmt	Voted	For	For
Ascendis Pharma A/S	ASND	04351P101	2023-05-30	Accounts and Reports; Ratification of Board and Management Acts	Mgmt	Voted	For	For
Ascendis Pharma A/S	ASND	04351P101	2023-05-30	Allocation of Profits/Dividends	Mgmt	Voted	For	For
Ascendis Pharma A/S	ASND	04351P101	2023-05-30	Elect Jan Moller Mikkelsen	Mgmt	Voted	For	For
Ascendis Pharma A/S	ASND	04351P101	2023-05-30	Elect Lisa Bright	Mgmt	Voted	For	For
Ascendis Pharma A/S	ASND	04351P101	2023-05-30	Elect William Carl Fairey, Jr.	Mgmt	Voted	For	For
Ascendis Pharma A/S	ASND	04351P101	2023-05-30	Elect Siham Imani	Mgmt	Voted	For	For
Ascendis Pharma A/S	ASND	04351P101	2023-05-30	Appointment of Auditor	Mgmt	Voted	For	For
Ascendis Pharma A/S	ASND	04351P101	2023-05-30	Authority to Issue Shares w/o Preemptive Rights	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Accounts and Reports	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Final Dividend	Mgmt	Voted	For	For

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Astrazeneca plc	AZN	G0593M107	2023-04-27	Appointment of Auditor	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Authority to Set Auditor's Fees	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Elect Michel Demare	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Elect Pascal Soriot	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Elect Aradhana Sarin	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Elect Philip Broadley	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Elect Euan Ashley	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Elect Deborah DiSanzo	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Elect Diana Layfield	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Elect Sherilyn S. McCoy	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Elect Tony MOK Shu Kam	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Elect Nazneen Rahman	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Elect Andreas Rummelt	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Elect Marcus Wallenberg	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Remuneration Report	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Authorisation of Political Donations	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Authority to Issue Shares w/ Preemptive Rights	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Authority to Issue Shares w/o Preemptive Rights	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Authority to Repurchase Shares	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2023-04-27	Adoption of New Articles	Mgmt	Voted	For	For
Baxter International Inc.	BAX	071813109	2023-05-02	Elect Jose E. Almeida	Mgmt	Voted	For	For
Baxter International Inc.	BAX	071813109	2023-05-02	Elect Michael F. Mahoney	Mgmt	Voted	For	For
Baxter International Inc.	BAX	071813109	2023-05-02	Elect Patricia Morrison	Mgmt	Voted	For	For
Baxter International Inc.	BAX	071813109	2023-05-02	Elect Stephen N. Oesterle	Mgmt	Voted	For	For
Baxter International Inc.	BAX	071813109	2023-05-02	Elect Nancy M. Schlichting	Mgmt	Voted	For	For
Baxter International Inc.	BAX	071813109	2023-05-02	Elect Brent Shafer	Mgmt	Voted	For	For
Baxter International Inc.	BAX	071813109	2023-05-02	Elect Catherine R. Smith	Mgmt	Voted	Against	Against
Baxter International Inc.	BAX	071813109	2023-05-02	Elect Amy M. Wendell	Mgmt	Voted	For	For
Baxter International Inc.	BAX	071813109	2023-05-02	Elect David S. Wilkes	Mgmt	Voted	For	For
Baxter International Inc.	BAX	071813109	2023-05-02	Elect Peter M. Wilver	Mgmt	Voted	For	For
Baxter International Inc.	BAX	071813109	2023-05-02	Advisory Vote on Executive Compensation	Mgmt	Voted	Against	Against
Baxter International Inc.	BAX	071813109	2023-05-02	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Baxter International Inc.	BAX	071813109	2023-05-02	Ratification of Auditor	Mgmt	Voted	For	For
Baxter International Inc.	BAX	071813109	2023-05-02	Shareholder Proposal Regarding Severance Approval Policy	ShrHoldr	Voted	Against	For
Baxter International Inc.	BAX	071813109	2023-05-02	Shareholder Proposal Regarding Retention of Shares Until Normal Retirement Age	ShrHoldr	Voted	Against	For
Biopharmaceutical Inc.	BMRN	09061G101	2023-05-23	Elect Mark J. Alles	Mgmt	Voted	Withhold	Against
Biopharmaceutical Inc.	BMRN	09061G101	2023-05-23	Elect Elizabeth McKee Anderson	Mgmt	Voted	For	For
Biopharmaceutical Inc.	BMRN	09061G101	2023-05-23	Elect Jean-Jacques Bienaime	Mgmt	Voted	For	For
Biopharmaceutical Inc.	BMRN	09061G101	2023-05-23	Elect Willard H. Dere	Mgmt	Voted	For	For
Biopharmaceutical Inc.	BMRN	09061G101	2023-05-23	Elect Elaine J. Heron	Mgmt	Voted	For	For
Biopharmaceutical Inc.	BMRN	09061G101	2023-05-23	Elect Maykin Ho	Mgmt	Voted	For	For
Biopharmaceutical Inc.	BMRN	09061G101	2023-05-23	Elect Robert J. Hombach	Mgmt	Voted	For	For
Biopharmaceutical Inc.	BMRN	09061G101	2023-05-23	Elect V. Bryan Lawlis	Mgmt	Voted	For	For
Biopharmaceutical Inc.	BMRN	09061G101	2023-05-23	Elect Richard A. Meier	Mgmt	Voted	For	For
Biopharmaceutical Inc.	BMRN	09061G101	2023-05-23	Elect David E.I. Pyott	Mgmt	Voted	For	For
Biopharmaceutical Inc.	BMRN	09061G101	2023-05-23	Elect Dennis J. Slamon	Mgmt	Voted	For	For
Biopharmaceutical Inc.	BMRN	09061G101	2023-05-23	Ratification of Auditor	Mgmt	Voted	For	For
Biopharmaceutical Inc.	BMRN	09061G101	2023-05-23	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Biopharmaceutical Inc.	BMRN	09061G101	2023-05-23	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Biopharmaceutical Inc.	BMRN	09061G101	2023-05-23	Amendment to the 2017 Equity Incentive Plan	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2023-05-04	Elect Nelda J. Connors	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2023-05-04	Elect Charles J. Dockendorff	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2023-05-04	Elect Yoshiaki Fujimori	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2023-05-04	Elect Edward J. Ludwig	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2023-05-04	Elect Michael F. Mahoney	Mgmt	Voted	For	For

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Boston Scientific Corporation	BSX	101137107	2023-05-04	Elect David J. Roux	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2023-05-04	Elect John E. Sununu	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2023-05-04	Elect David S. Wichmann	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2023-05-04	Elect Ellen M. Zane	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2023-05-04	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2023-05-04	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Boston Scientific Corporation	BSX	101137107	2023-05-04	Ratification of Auditor	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2023-05-02	Elect Peter J. Arduini	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2023-05-02	Elect Deepak L. Bhatt	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2023-05-02	Elect Giovanni Caforio	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2023-05-02	Elect Julia A. Haller	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2023-05-02	Elect Manuel Hidalgo Medina	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2023-05-02	Elect Paula A. Price	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2023-05-02	Elect Derica W. Rice	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2023-05-02	Elect Theodore R. Samuels, II	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2023-05-02	Elect Gerald Storch	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2023-05-02	Elect Karen H. Vousden	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2023-05-02	Elect Phyllis R. Yale	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2023-05-02	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2023-05-02	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Bristol-Myers Squibb Company	BMJ	110122108	2023-05-02	Ratification of Auditor	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2023-05-02	Shareholder Proposal Regarding Independent Chair	ShrHoldr	Voted	For	Against
Bristol-Myers Squibb Company	BMJ	110122108	2023-05-02	Shareholder Proposal Regarding Non-Discrimination Audit	ShrHoldr	Voted	Against	For
Bristol-Myers Squibb Company	BMJ	110122108	2023-05-02	Shareholder Proposal Regarding Allowing Beneficial Owners to Call Special Meetings	ShrHoldr	Voted	Against	For
Catalent, Inc.	CTLT	148806102	2022-10-27	Elect Madhavan Balachandran	Mgmt	Voted	For	For
Catalent, Inc.	CTLT	148806102	2022-10-27	Elect Michael J. Barber	Mgmt	Voted	For	For
Catalent, Inc.	CTLT	148806102	2022-10-27	Elect J. Martin Carroll	Mgmt	Voted	For	For
Catalent, Inc.	CTLT	148806102	2022-10-27	Elect John R. Chiminski	Mgmt	Voted	For	For
Catalent, Inc.	CTLT	148806102	2022-10-27	Elect Rolf A. Classon	Mgmt	Voted	For	For
Catalent, Inc.	CTLT	148806102	2022-10-27	Elect Rosemary A. Crane	Mgmt	Voted	For	For
Catalent, Inc.	CTLT	148806102	2022-10-27	Elect Karen A. Flynn	Mgmt	Voted	For	For
Catalent, Inc.	CTLT	148806102	2022-10-27	Elect John J. Greisch	Mgmt	Voted	For	For
Catalent, Inc.	CTLT	148806102	2022-10-27	Elect Christa Kreuzburg	Mgmt	Voted	For	For
Catalent, Inc.	CTLT	148806102	2022-10-27	Elect Gregory T. Lucier	Mgmt	Voted	For	For
Catalent, Inc.	CTLT	148806102	2022-10-27	Elect Donald E. Morel, Jr.	Mgmt	Voted	For	For
Catalent, Inc.	CTLT	148806102	2022-10-27	Elect Alessandro Maselli	Mgmt	Voted	For	For
Catalent, Inc.	CTLT	148806102	2022-10-27	Elect Jack L. Stahl	Mgmt	Voted	For	For
Catalent, Inc.	CTLT	148806102	2022-10-27	Elect Peter Zippelius	Mgmt	Voted	For	For
Catalent, Inc.	CTLT	148806102	2022-10-27	Ratification of Auditor	Mgmt	Voted	For	For
Catalent, Inc.	CTLT	148806102	2022-10-27	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2023-05-18	Elect V. Ann Davis	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2023-05-18	Elect James Scarlett	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2023-05-18	Elect Huw Thomas	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2023-05-18	Elect Valerie Pisano	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2023-05-18	Elect Sharon Sallows	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2023-05-18	Elect Gary Whitelaw	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2023-05-18	Elect W. Brent Binions	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2023-05-18	Elect V. Ann Davis	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2023-05-18	Elect Valerie Pisano	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2023-05-18	Elect Sharon Sallows	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2023-05-18	Elect James Scarlett	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2023-05-18	Elect Huw Thomas	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2023-05-18	Elect Vlad Volodarski	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2023-05-18	Elect Gary Whitelaw	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2023-05-18	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2023-05-18	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
CVS Health Corporation	CVS	126650100	2023-05-18	Elect Fernando Aguirre	Mgmt	Voted	For	For

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CVS Health Corporation	CVS	126650100	2023-05-18	Elect Jeffrey R. Balser	Mgmt	Voted	For	For
CVS Health Corporation	CVS	126650100	2023-05-18	Elect C. David Brown II	Mgmt	Voted	For	For
CVS Health Corporation	CVS	126650100	2023-05-18	Elect Alecia A. DeCoudreaux	Mgmt	Voted	For	For
CVS Health Corporation	CVS	126650100	2023-05-18	Elect Nancy-Ann DeParle	Mgmt	Voted	For	For
CVS Health Corporation	CVS	126650100	2023-05-18	Elect Roger N. Farah	Mgmt	Voted	For	For
CVS Health Corporation	CVS	126650100	2023-05-18	Elect Anne M. Finucane	Mgmt	Voted	For	For
CVS Health Corporation	CVS	126650100	2023-05-18	Elect Edward J. Ludwig	Mgmt	Voted	For	For
CVS Health Corporation	CVS	126650100	2023-05-18	Elect Karen S. Lynch	Mgmt	Voted	For	For
CVS Health Corporation	CVS	126650100	2023-05-18	Elect Jean-Pierre Millon	Mgmt	Voted	For	For
CVS Health Corporation	CVS	126650100	2023-05-18	Elect Mary L. Schapiro	Mgmt	Voted	For	For
CVS Health Corporation	CVS	126650100	2023-05-18	Ratification of Auditor	Mgmt	Voted	For	For
CVS Health Corporation	CVS	126650100	2023-05-18	Advisory Vote on Executive Compensation	Mgmt	Voted	Against	Against
CVS Health Corporation	CVS	126650100	2023-05-18	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
CVS Health Corporation	CVS	126650100	2023-05-18	Shareholder Proposal Regarding Paid Sick Leave	ShrHoldr	Voted	For	Against
CVS Health Corporation	CVS	126650100	2023-05-18	Shareholder Proposal Regarding Right to Call Special Meetings	ShrHoldr	Voted	Against	For
CVS Health Corporation	CVS	126650100	2023-05-18	Shareholder Proposal Regarding Shareholder Approval of Advance Notice Provisions	ShrHoldr	Voted	For	Against
CVS Health Corporation	CVS	126650100	2023-05-18	Shareholder Proposal Regarding Third-Party Assessment of Freedom of Association	ShrHoldr	Voted	For	Against
CVS Health Corporation	CVS	126650100	2023-05-18	Shareholder Proposal Regarding Company Directors Serving on Multiple Boards	ShrHoldr	Voted	Against	For
Danaher Corporation	DHR	235851102	2023-05-09	Elect Rainer M. Blair	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2023-05-09	Elect Feroz Dewan	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2023-05-09	Elect Linda P. Hefner Filler	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2023-05-09	Elect Teri L. List	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2023-05-09	Elect Walter G. Lohr, Jr.	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2023-05-09	Elect Jessica L. Mega	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2023-05-09	Elect Mitchell P. Rales	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2023-05-09	Elect Steven M. Rales	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2023-05-09	Elect Pardis C. Sabetti	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2023-05-09	Elect A. Shane Sanders	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2023-05-09	Elect John T. Schwieters	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2023-05-09	Elect Alan G. Spoon	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2023-05-09	Elect Raymond C. Stevens	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2023-05-09	Elect Elias A. Zerhouni	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2023-05-09	Ratification of Auditor	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2023-05-09	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2023-05-09	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Danaher Corporation	DHR	235851102	2023-05-09	Shareholder Proposal Regarding Independent Chair	ShrHoldr	Voted	For	Against
Danaher Corporation	DHR	235851102	2023-05-09	Shareholder Proposal Regarding Diversity and Inclusion Report	ShrHoldr	Voted	Against	For
DexCom, Inc.	DXCM	252131107	2023-05-18	Elect Steven R. Altman	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2023-05-18	Elect Richard A. Collins	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2023-05-18	Elect Karen M. Dahut	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2023-05-18	Elect Mark G. Foletta	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2023-05-18	Elect Barbara E. Kahn	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2023-05-18	Elect Kyle Malady	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2023-05-18	Elect Eric Topol	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2023-05-18	Ratification of Auditor	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2023-05-18	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2023-05-18	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
DexCom, Inc.	DXCM	252131107	2023-05-18	Shareholder Proposal Regarding Median Gender and Racial Pay Equity Report	ShrHoldr	Voted	Against	For
Edwards Lifesciences Corporation	EW	28176E108	2023-05-11	Elect Kieran T. Gallahue	Mgmt	Voted	For	For
Edwards Lifesciences Corporation	EW	28176E108	2023-05-11	Elect Leslie Stone Heisz	Mgmt	Voted	For	For
Edwards Lifesciences Corporation	EW	28176E108	2023-05-11	Elect Paul A. LaViolette	Mgmt	Voted	For	For
Edwards Lifesciences Corporation	EW	28176E108	2023-05-11	Elect Steven R. Loranger	Mgmt	Voted	For	For
Edwards Lifesciences Corporation	EW	28176E108	2023-05-11	Elect Martha H. Marsh	Mgmt	Voted	For	For
Edwards Lifesciences Corporation	EW	28176E108	2023-05-11	Elect Michael A. Mussallem	Mgmt	Voted	For	For
Edwards Lifesciences Corporation	EW	28176E108	2023-05-11	Elect Ramona Sequeira	Mgmt	Voted	For	For
Edwards Lifesciences Corporation	EW	28176E108	2023-05-11	Elect Nicholas J. Valeriani	Mgmt	Voted	For	For
Edwards Lifesciences Corporation	EW	28176E108	2023-05-11	Elect Bernard J. Zovighian	Mgmt	Voted	For	For

Middlefield Healthcare Dividend ETF

Proxy Voting Record

July 1, 2022 to June 30, 2023

Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	ForAgainst Management?
Edwards Lifesciences Corporation	EW	28176E108	2023-05-11	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Edwards Lifesciences Corporation	EW	28176E108	2023-05-11	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Edwards Lifesciences Corporation	EW	28176E108	2023-05-11	Ratification of Auditor	Mgmt	Voted	For	For
Edwards Lifesciences Corporation	EW	28176E108	2023-05-11	Amendment to Allow Exculpation of Officers	Mgmt	Voted	Against	Against
Edwards Lifesciences Corporation	EW	28176E108	2023-05-11	Shareholder Proposal Regarding Independent Chair	ShrHoldr	Voted	For	Against
Eli Lilly and Company	LLY	532457108	2023-05-01	Elect William G. Kaelin, Jr.	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2023-05-01	Elect David A. Ricks	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2023-05-01	Elect Marshall S. Runge	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2023-05-01	Elect Karen Walker	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2023-05-01	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2023-05-01	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Eli Lilly and Company	LLY	532457108	2023-05-01	Ratification of Auditor	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2023-05-01	Repeal of Classified Board	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2023-05-01	Elimination of Supermajority Requirements	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2023-05-01	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	For	Against
Eli Lilly and Company	LLY	532457108	2023-05-01	Shareholder Proposal Regarding Simple Majority Vote	ShrHoldr	Voted	Against	For
Eli Lilly and Company	LLY	532457108	2023-05-01	Shareholder Proposal Regarding Extended Patent Exclusivities and Application for Secondary and Tertiary Patents	ShrHoldr	Voted	Against	For
Eli Lilly and Company	LLY	532457108	2023-05-01	Shareholder Proposal Regarding Report on Company Response to State Policies Regulating Abortion	ShrHoldr	Voted	Against	For
Eli Lilly and Company	LLY	532457108	2023-05-01	Shareholder Proposal Regarding Lobbying Activities and Values Congruency	ShrHoldr	Voted	Against	For
Eli Lilly and Company	LLY	532457108	2023-05-01	Shareholder Proposal Regarding Diversity and Inclusion Report	ShrHoldr	Voted	Against	For
Eli Lilly and Company	LLY	532457108	2023-05-01	Shareholder Proposal Regarding Third-Party Political Expenditures Reporting	ShrHoldr	Voted	Against	For
Genmab A/S	GMAB	372303206	2023-03-29	Accounts and Reports; Ratification of Board and Management acts	Mgmt	Voted	For	For
Genmab A/S	GMAB	372303206	2023-03-29	Allocation of Profits/Dividends	Mgmt	Voted	For	For
Genmab A/S	GMAB	372303206	2023-03-29	Remuneration Report	Mgmt	Voted	For	For
Genmab A/S	GMAB	372303206	2023-03-29	Elect Deirdre P. Connelly	Mgmt	Voted	For	For
Genmab A/S	GMAB	372303206	2023-03-29	Elect Pernille Erenbjerg	Mgmt	Voted	For	For
Genmab A/S	GMAB	372303206	2023-03-29	Elect Rolf Hoffman	Mgmt	Voted	For	For
Genmab A/S	GMAB	372303206	2023-03-29	Elect Elizabeth O'Farrell	Mgmt	Voted	For	For
Genmab A/S	GMAB	372303206	2023-03-29	Elect Paolo Paoletti	Mgmt	Voted	For	For
Genmab A/S	GMAB	372303206	2023-03-29	Elect Anders Gersel Pedersen	Mgmt	Voted	For	For
Genmab A/S	GMAB	372303206	2023-03-29	Appointment of Auditor	Mgmt	Voted	For	For
Genmab A/S	GMAB	372303206	2023-03-29	Directors' Fees	Mgmt	Voted	For	For
Genmab A/S	GMAB	372303206	2023-03-29	Amendment to Remuneration Policy (Removal of Nominal Cap)	Mgmt	Voted	For	For
Genmab A/S	GMAB	372303206	2023-03-29	Amendments to Remuneration Policy (Other Changes)	Mgmt	Voted	For	For
Genmab A/S	GMAB	372303206	2023-03-29	Authority to Repurchase Shares	Mgmt	Voted	For	For
Genmab A/S	GMAB	372303206	2023-03-29	Authorization of Legal Formalities	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2023-05-03	Elect Jacqueline K. Barton	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2023-05-03	Elect Jeffrey A. Bluestone	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2023-05-03	Elect Sandra J. Horning	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2023-05-03	Elect Kelly A. Kramer	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2023-05-03	Elect Kevin E. Lofton	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2023-05-03	Elect Harish Manwani	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2023-05-03	Elect Daniel P. O'Day	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2023-05-03	Elect Javier J. Rodriguez	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2023-05-03	Elect Anthony Welters	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2023-05-03	Ratification of Auditor	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2023-05-03	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2023-05-03	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Gilead Sciences, Inc.	GILD	375558103	2023-05-03	Amendment to the Employee Stock Purchase Plan	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2023-05-03	Shareholder Proposal Regarding Multiple Board Nominees	ShrHoldr	Voted	Against	For
Gilead Sciences, Inc.	GILD	375558103	2023-05-03	Shareholder Proposal Regarding Allowing Beneficial Owners to Call Special Meetings	ShrHoldr	Voted	Against	For
Gilead Sciences, Inc.	GILD	375558103	2023-05-03	Shareholder Proposal Regarding Extended Patent Exclusivities and Application for Secondary and Tertiary Patents	ShrHoldr	Voted	Against	For
Humana Inc.	HUM	444859102	2023-04-20	Elect Raquel C. Bono	Mgmt	Voted	For	For
Humana Inc.	HUM	444859102	2023-04-20	Elect Bruce Broussard	Mgmt	Voted	For	For
Humana Inc.	HUM	444859102	2023-04-20	Elect Frank A. D'Amelio	Mgmt	Voted	Against	Against
Humana Inc.	HUM	444859102	2023-04-20	Elect David T. Feinberg	Mgmt	Voted	For	For
Humana Inc.	HUM	444859102	2023-04-20	Elect Wayne A.I. Frederick	Mgmt	Voted	For	For

Middlefield Healthcare Dividend ETF
Proxy Voting Record
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Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	ForAgainst Management?
Humana Inc.	HUM	444859102	2023-04-20	Elect John W. Garratt	Mgmt	Voted	For	For
Humana Inc.	HUM	444859102	2023-04-20	Elect Kurt J. Hilzinger	Mgmt	Voted	For	For
Humana Inc.	HUM	444859102	2023-04-20	Elect Karen W. Katz	Mgmt	Voted	For	For
Humana Inc.	HUM	444859102	2023-04-20	Elect Marcy S. Klevorn	Mgmt	Voted	For	For
Humana Inc.	HUM	444859102	2023-04-20	Elect William J. McDonald	Mgmt	Voted	For	For
Humana Inc.	HUM	444859102	2023-04-20	Elect Jorge S. Mesquita	Mgmt	Voted	For	For
Humana Inc.	HUM	444859102	2023-04-20	Elect Brad D. Smith	Mgmt	Voted	For	For
Humana Inc.	HUM	444859102	2023-04-20	Ratification of Auditor	Mgmt	Voted	For	For
Humana Inc.	HUM	444859102	2023-04-20	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Humana Inc.	HUM	444859102	2023-04-20	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Accounts and Reports	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Compensation Report	Mgmt	Voted	Against	Against
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Allocation of Losses	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Ratification of Board and Management Acts	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Elect Mathieu Simon	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Elect Joern Aldag	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Elect Jean-Paul Clozel	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Elect Felix R. Ehrat	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Elect Srishti Gupta	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Elect Peter N. Kellogg	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Elect Sandy Mahatme	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Elect Sophie Kornowski	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Appoint Mathieu Simon as Board Chair	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Elect Felix R. Ehrat	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Elect Srishti Gupta	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Elect Mathieu Simon	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Elect Sophie Kornowski-Bonnet	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Board Compensation	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Executive Compensation	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Appointment of Independent Proxy	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Appointment of Auditor	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Amendments to Articles	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Authority to Issue Convertible Debt Instruments; Increase in Conditional Capital	Mgmt	Voted	Against	Against
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Capital Band	Mgmt	Voted	Against	Against
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Amendments to Articles (Cap on Dilutive Issuances)	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Amendments to Articles (Miscellaneous)	Mgmt	Voted	For	For
Idorsia Ltd	IDIA	H3879B109	2023-05-04	Amendments to Articles (Various)	Mgmt	Voted	For	For
Ilumina, Inc.	ILMN	452327109	2023-05-25	Elect Management Nominee Frances Arnold	Mgmt	Voted	For	For
Ilumina, Inc.	ILMN	452327109	2023-05-25	Elect Management Nominee Francis A. deSouza	Mgmt	Voted	Withhold	Against
Ilumina, Inc.	ILMN	452327109	2023-05-25	Elect Management Nominee Caroline D. Dorsa	Mgmt	Voted	For	For
Ilumina, Inc.	ILMN	452327109	2023-05-25	Elect Management Nominee Robert S. Epstein	Mgmt	Voted	For	For
Ilumina, Inc.	ILMN	452327109	2023-05-25	Elect Management Nominee Scott Gottlieb	Mgmt	Voted	For	For
Ilumina, Inc.	ILMN	452327109	2023-05-25	Elect Management Nominee Gary S. Guthart	Mgmt	Voted	For	For
Ilumina, Inc.	ILMN	452327109	2023-05-25	Elect Management Nominee Philip W. Schiller	Mgmt	Voted	For	For
Ilumina, Inc.	ILMN	452327109	2023-05-25	Elect Management Nominee Susan E. Siegel	Mgmt	Voted	For	For
Ilumina, Inc.	ILMN	452327109	2023-05-25	Elect Management Nominee John W. Thompson	Mgmt	Voted	Withhold	Against
Ilumina, Inc.	ILMN	452327109	2023-05-25	Elect Icahn Nominee Vincent J. Intieri	ShrHoldr	Voted	For	Against
Ilumina, Inc.	ILMN	452327109	2023-05-25	Elect Icahn Nominee Jesse A. Lynn	ShrHoldr	Voted	Withhold	For
Ilumina, Inc.	ILMN	452327109	2023-05-25	Elect Icahn Nominee Andrew J. Teno	ShrHoldr	Voted	For	Against
Ilumina, Inc.	ILMN	452327109	2023-05-25	Ratification of Auditor	Mgmt	Voted	For	For
Ilumina, Inc.	ILMN	452327109	2023-05-25	Advisory Vote on Executive Compensation	Mgmt	Voted	Against	Against
Ilumina, Inc.	ILMN	452327109	2023-05-25	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Ilumina, Inc.	ILMN	452327109	2023-05-25	Amendment to the 2015 Stock and Incentive Plan	Mgmt	Voted	Against	Against
Innoviva, Inc.	INVA	45781M101	2023-05-22	Elect Deborah L. Birx	Mgmt	Voted	For	For
Innoviva, Inc.	INVA	45781M101	2023-05-22	Elect Mark A. DiPaolo	Mgmt	Voted	For	For
Innoviva, Inc.	INVA	45781M101	2023-05-22	Elect Jules A. Haimovitz	Mgmt	Voted	For	For
Innoviva, Inc.	INVA	45781M101	2023-05-22	Elect Odysseas D. Kostas	Mgmt	Voted	For	For

Middlefield Healthcare Dividend ETF

Proxy Voting Record

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Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	ForAgainst Management?
Innoviva, Inc.	INVA	45781M101	2023-05-22	Elect Sarah J. Schlesinger	Mgmt	Voted	For	For
Innoviva, Inc.	INVA	45781M101	2023-05-22	Elect Sapna Srivastava	Mgmt	Voted	For	For
Innoviva, Inc.	INVA	45781M101	2023-05-22	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Innoviva, Inc.	INVA	45781M101	2023-05-22	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Innoviva, Inc.	INVA	45781M101	2023-05-22	Ratification of Auditor	Mgmt	Voted	For	For
Innoviva, Inc.	INVA	45781M101	2023-05-22	Approval of the 2023 Employee Stock Purchase Plan	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2023-04-27	Elect Craig H. Barratt	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2023-04-27	Elect Joseph C. Beery	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2023-04-27	Elect Gary S. Guthart	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2023-04-27	Elect Amal M. Johnson	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2023-04-27	Elect Don R. Kania	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2023-04-27	Elect Amy L. Ladd	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2023-04-27	Elect Keith R. Leonard, Jr.	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2023-04-27	Elect Alan J. Levy	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2023-04-27	Elect Jami Dover Nachtsheim	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2023-04-27	Elect Monica P. Reed	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2023-04-27	Elect Mark J. Rubash	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2023-04-27	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2023-04-27	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Intuitive Surgical, Inc.	ISRG	46120E602	2023-04-27	Ratification of Auditor	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2023-04-27	Shareholder Proposal Regarding Median Gender and Racial Pay Equity Report	ShrHoldr	Voted	Against	For
Iovance Biotherapeutics, Inc.	IOVA	462260100	2023-06-06	Elect Iain Dukes	Mgmt	Voted	For	For
Iovance Biotherapeutics, Inc.	IOVA	462260100	2023-06-06	Elect Athena Countouriotis	Mgmt	Voted	For	For
Iovance Biotherapeutics, Inc.	IOVA	462260100	2023-06-06	Elect Wendy L. Yarno	Mgmt	Voted	For	For
Iovance Biotherapeutics, Inc.	IOVA	462260100	2023-06-06	Elect Ryan Maynard	Mgmt	Voted	For	For
Iovance Biotherapeutics, Inc.	IOVA	462260100	2023-06-06	Elect Merrill A. McPeak	Mgmt	Voted	Withhold	Against
Iovance Biotherapeutics, Inc.	IOVA	462260100	2023-06-06	Elect Wayne P. Rothbaum	Mgmt	Voted	For	For
Iovance Biotherapeutics, Inc.	IOVA	462260100	2023-06-06	Elect Michael Weiser	Mgmt	Voted	Withhold	Against
Iovance Biotherapeutics, Inc.	IOVA	462260100	2023-06-06	Advisory Vote on Executive Compensation	Mgmt	Voted	Against	Against
Iovance Biotherapeutics, Inc.	IOVA	462260100	2023-06-06	Ratification of Auditor	Mgmt	Voted	For	For
Iovance Biotherapeutics, Inc.	IOVA	462260100	2023-06-06	Amendment to the 2018 Equity Incentive Plan	Mgmt	Voted	For	For
Iovance Biotherapeutics, Inc.	IOVA	462260100	2023-06-06	Amendment to the 2020 Employee Stock Purchase Plan	Mgmt	Voted	For	For
Iovance Biotherapeutics, Inc.	IOVA	462260100	2023-06-06	Increase in Authorized Common Stock	Mgmt	Voted	For	For
IVERIC bio, Inc.	ISEE	46583P102	2023-05-17	Elect Jane P. Henderson	Mgmt	Voted	For	For
IVERIC bio, Inc.	ISEE	46583P102	2023-05-17	Elect Pravin U. Dugel	Mgmt	Voted	For	For
IVERIC bio, Inc.	ISEE	46583P102	2023-05-17	Elect Glenn P. Sblendorio	Mgmt	Voted	For	For
IVERIC bio, Inc.	ISEE	46583P102	2023-05-17	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
IVERIC bio, Inc.	ISEE	46583P102	2023-05-17	Increase in Authorized Common Stock	Mgmt	Voted	For	For
IVERIC bio, Inc.	ISEE	46583P102	2023-05-17	Approval of the 2023 Stock Incentive Plan	Mgmt	Voted	For	For
IVERIC bio, Inc.	ISEE	46583P102	2023-05-17	Ratification of Auditor	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2023-04-27	Elect Darius Adamczyk	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2023-04-27	Elect Mary C. Beckerle	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2023-04-27	Elect D. Scott Davis	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2023-04-27	Elect Jennifer A. Doudna	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2023-04-27	Elect Joaquin Duato	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2023-04-27	Elect Marilyn A. Hewson	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2023-04-27	Elect Paula A. Johnson	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2023-04-27	Elect Hubert Joly	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2023-04-27	Elect Mark B. McClellan	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2023-04-27	Elect Anne Mulcahy	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2023-04-27	Elect Mark A. Weinberger	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2023-04-27	Elect Nadja Y. West	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2023-04-27	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2023-04-27	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Johnson & Johnson	JNJ	478160104	2023-04-27	Ratification of Auditor	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2023-04-27	Shareholder Proposal Regarding Adoption of Mandatory Arbitration Bylaw	ShrHoldr	Voted	Abstain	Against
Johnson & Johnson	JNJ	478160104	2023-04-27	Shareholder Proposal Regarding Report on Access to COVID-19 Products	ShrHoldr	Voted	Against	For

Middlefield Healthcare Dividend ETF

Proxy Voting Record

July 1, 2022 to June 30, 2023

Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	ForAgainst Management?
Johnson & Johnson	JNJ	478160104	2023-04-27	Shareholder Proposal Prohibiting Adjustments for Legal and Compliance Costs	ShrHoldr	Voted	Abstain	Against
Johnson & Johnson	JNJ	478160104	2023-04-27	Shareholder Proposal Regarding Extended Patent Exclusivities and Application for Secondary and Tertiary Patents	ShrHoldr	Voted	Against	For
Mckesson Corporation	MCK	58155Q103	2022-07-22	Elect Richard H. Carmona	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2022-07-22	Elect Dominic J. Caruso	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2022-07-22	Elect W. Roy Dunbar	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2022-07-22	Elect James H. Hinton	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2022-07-22	Elect Donald R. Knauss	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2022-07-22	Elect Bradley E. Lerman	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2022-07-22	Elect Linda P. Mantia	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2022-07-22	Elect Maria Martinez	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2022-07-22	Elect Susan R. Salka	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2022-07-22	Elect Brian S. Tyler	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2022-07-22	Elect Kathleen Wilson-Thompson	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2022-07-22	Ratification of Auditor	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2022-07-22	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2022-07-22	Approval of the 2022 Stock Plan	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2022-07-22	Amendment to the 2000 Employee Stock Purchase Plan	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2022-07-22	Shareholder Proposal Regarding Right to Call Special Meetings	ShrHoldr	Voted	Against	For
Mckesson Corporation	MCK	58155Q103	2022-07-22	Shareholder Proposal Regarding 10b5-1 Plans	ShrHoldr	Voted	For	Against
Medtronic Plc	MDT	585055106	2022-12-08	Elect Richard H. Anderson	Mgmt	Voted	For	For
Medtronic Plc	MDT	585055106	2022-12-08	Elect Craig Arnold	Mgmt	Voted	For	For
Medtronic Plc	MDT	585055106	2022-12-08	Elect Scott C. Donnelly	Mgmt	Voted	For	For
Medtronic Plc	MDT	585055106	2022-12-08	Elect Lidia L. Fonseca	Mgmt	Voted	For	For
Medtronic Plc	MDT	585055106	2022-12-08	Elect Andrea J. Goldsmith	Mgmt	Voted	For	For
Medtronic Plc	MDT	585055106	2022-12-08	Elect Randall J. Hogan, III	Mgmt	Voted	For	For
Medtronic Plc	MDT	585055106	2022-12-08	Elect Kevin E. Lofton	Mgmt	Voted	For	For
Medtronic Plc	MDT	585055106	2022-12-08	Elect Geoffrey Straub Martha	Mgmt	Voted	For	For
Medtronic Plc	MDT	585055106	2022-12-08	Elect Elizabeth G. Nabel	Mgmt	Voted	For	For
Medtronic Plc	MDT	585055106	2022-12-08	Elect Denise M. O'Leary	Mgmt	Voted	For	For
Medtronic Plc	MDT	585055106	2022-12-08	Elect Kendall J. Powell	Mgmt	Voted	Against	Against
Medtronic Plc	MDT	585055106	2022-12-08	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Medtronic Plc	MDT	585055106	2022-12-08	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Medtronic Plc	MDT	585055106	2022-12-08	Authority to Issue Shares w/ Preemptive Rights	Mgmt	Voted	For	For
Medtronic Plc	MDT	585055106	2022-12-08	Authority to Issue Shares w/o Preemptive Rights	Mgmt	Voted	For	For
Medtronic Plc	MDT	585055106	2022-12-08	Authority to Repurchase Shares	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Elect Douglas M. Baker, Jr.	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Elect Mary Ellen Coe	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Elect Pamela J. Craig	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Elect Robert M. Davis	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Elect Thomas H. Glocer	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Elect Risa Lavizzo-Mourey	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Elect Stephen L. Mayo	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Elect Paul B. Rothman	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Elect Patricia F. Russo	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Elect Christine E. Seidman	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Elect Inge G. Thulin	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Elect Kathy J. Warden	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Elect Peter C. Wendell	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Ratification of Auditor	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Shareholder Proposal Regarding Report on Corporate Operations with China	ShrHoldr	Voted	Against	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Shareholder Proposal Regarding Report on Access to COVID-19 Products	ShrHoldr	Voted	Against	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Shareholder Proposal Regarding Third-Party Political Expenditures Reporting	ShrHoldr	Voted	Against	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Shareholder Proposal Regarding Extended Patent Exclusivities and Application for Secondary and Tertiary Patents	ShrHoldr	Voted	Against	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Shareholder Proposal Regarding Congruency Report of Partnerships with Certain Organizations	ShrHoldr	Voted	Against	For
Merck & Co., Inc.	MRK	58933Y105	2023-05-23	Shareholder Proposal Regarding Independent Chair	ShrHoldr	Voted	For	Against

Middlefield Healthcare Dividend ETF

Proxy Voting Record

July 1, 2022 to June 30, 2023

Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	ForAgainst Management?
Pfizer Inc.	PFE	717081103	2023-04-27	Elect Ronald E. Blaylock	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2023-04-27	Elect Albert Bourla	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2023-04-27	Elect Susan Desmond-Hellmann	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2023-04-27	Elect Joseph J. Echevarria	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2023-04-27	Elect Scott Gottlieb	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2023-04-27	Elect Helen H. Hobbs	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2023-04-27	Elect Susan Hockfield	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2023-04-27	Elect Dan R. Littman	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2023-04-27	Elect Shantanu Narayen	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2023-04-27	Elect Suzanne Nora Johnson	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2023-04-27	Elect James Quincey	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2023-04-27	Elect James C. Smith	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2023-04-27	Ratification of Auditor	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2023-04-27	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2023-04-27	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Pfizer Inc.	PFE	717081103	2023-04-27	Shareholder Proposal Regarding Severance Approval Policy	ShrHoldr	Voted	Against	For
Pfizer Inc.	PFE	717081103	2023-04-27	Shareholder Proposal Regarding Independent Chair	ShrHoldr	Voted	For	Against
Pfizer Inc.	PFE	717081103	2023-04-27	Shareholder Proposal Regarding Intellectual Property	ShrHoldr	Voted	Against	For
Pfizer Inc.	PFE	717081103	2023-04-27	Shareholder Proposal Regarding Extended Patent Exclusivities and Application for Secondary and Tertiary Patents	ShrHoldr	Voted	Against	For
Pfizer Inc.	PFE	717081103	2023-04-27	Shareholder Proposal Regarding Report on Political Expenditures and Values Congruency	ShrHoldr	Voted	Against	For
Sanofi	SAN	F5548N101	2023-05-25	Accounts and Reports; Non Tax-Deductible Expenses	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	Consolidated Accounts and Reports	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	Allocation of Profits/Dividends	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	Elect Frederic Oudea	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	2022 Remuneration Report	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	2022 Remuneration of Serge Weinberg, Chair	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	2022 Remuneration of Paul Hudson, CEO	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	2023 Directors' Fees	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	2023 Remuneration Policy (Board of Directors)	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	2023 Remuneration Policy (Chair)	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	2023 Remuneration Policy (CEO)	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	Appointment of Auditor (PwC)	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	Relocation of Corporate Headquarters	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	Authority to Repurchase and Reissue Shares	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	Authority to Cancel Shares and Reduce Capital	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	Authority to Issue Shares and Convertible Debt w/ Preemptive Rights	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	Authority to Issue Shares and/or Convertible Debt Through Private Placement	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	Authority to Issue Debt Instruments	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	Greenshoe	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	Authority to Increase Capital in Consideration for Contributions In Kind	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	Authority to Increase Capital Through Capitalisations	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	Employee Stock Purchase Plan	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2023-05-25	Authorisation of Legal Formalities	Mgmt	Voted	For	For
Sarepta Therapeutics, Inc.	SRPT	803607100	2023-06-08	Elect Richard J. Barry	Mgmt	Voted	Against	Against
Sarepta Therapeutics, Inc.	SRPT	803607100	2023-06-08	Elect M. Kathleen Behrens	Mgmt	Voted	For	For
Sarepta Therapeutics, Inc.	SRPT	803607100	2023-06-08	Elect Stephen L. Mayo	Mgmt	Voted	For	For
Sarepta Therapeutics, Inc.	SRPT	803607100	2023-06-08	Elect Claude Nicaise	Mgmt	Voted	For	For
Sarepta Therapeutics, Inc.	SRPT	803607100	2023-06-08	Advisory Vote on Executive Compensation	Mgmt	Voted	Against	Against
Sarepta Therapeutics, Inc.	SRPT	803607100	2023-06-08	Amendment to the 2018 Equity Incentive Plan	Mgmt	Voted	For	For
Sarepta Therapeutics, Inc.	SRPT	803607100	2023-06-08	Amendment to the 2013 Employee Stock Purchase Plan	Mgmt	Voted	For	For
Sarepta Therapeutics, Inc.	SRPT	803607100	2023-06-08	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Sarepta Therapeutics, Inc.	SRPT	803607100	2023-06-08	Ratification of Auditor	Mgmt	Voted	For	For
Seres Therapeutics, Inc.	MCRB	81750R102	2023-06-22	Elect Stephen A. Berenson	Mgmt	Voted	Withhold	Against
Seres Therapeutics, Inc.	MCRB	81750R102	2023-06-22	Elect Richard N. Kender	Mgmt	Voted	For	For
Seres Therapeutics, Inc.	MCRB	81750R102	2023-06-22	Elect Claire M. Fraser	Mgmt	Voted	For	For
Seres Therapeutics, Inc.	MCRB	81750R102	2023-06-22	Ratification of Auditor	Mgmt	Voted	For	For

Middlefield Healthcare Dividend ETF

Proxy Voting Record

July 1, 2022 to June 30, 2023

Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	ForAgainst Management?
Seres Therapeutics, Inc.	MCRB	81750R102	2023-06-22	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Seres Therapeutics, Inc.	MCRB	81750R102	2023-06-22	Increase in Authorized Common Stock	Mgmt	Voted	For	For
Seres Therapeutics, Inc.	MCRB	81750R102	2023-06-22	Right to Adjourn Meeting	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Accounts and Reports	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Remuneration Policy	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Remuneration Report	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Final Dividend	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Elect Rupert Soames	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Elect Erik Engstrom	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Elect Jo Hallas	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Elect John Ma	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Elect Katarzyna Mazur-Hofsaess	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Elect Rick Medlock	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Elect Deepak Nath	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Elect Anne-Francoise Nesmes	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Elect Marc Owen	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Elect Roberto Quarta	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Elect Angie Risley	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Elect Bob White	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Appointment of Auditor	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Authority to Set Auditor's Fees	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Authority to Issue Shares w/ Preemptive Rights	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Authority to Issue Shares w/o Preemptive Rights	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Authority to Repurchase Shares	Mgmt	Voted	For	For
Smith & Nephew plc	SN	G82343164	2023-04-26	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	Voted	For	For
SpringWorks Therapeutics, Inc.	SWTX	85205L107	2023-05-24	Elect Saqib Islam	Mgmt	Voted	For	For
SpringWorks Therapeutics, Inc.	SWTX	85205L107	2023-05-24	Elect Carlos Alban	Mgmt	Voted	Withhold	Against
SpringWorks Therapeutics, Inc.	SWTX	85205L107	2023-05-24	Ratification of Auditor	Mgmt	Voted	For	For
SpringWorks Therapeutics, Inc.	SWTX	85205L107	2023-05-24	Advisory Vote on Executive Compensation	Mgmt	Voted	Against	Against
Stryker Corporation	SYK	863667101	2023-05-10	Elect Mary K. Brainerd	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2023-05-10	Elect Giovanni Caforio	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2023-05-10	Elect Srikanth M. Datar	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2023-05-10	Elect Allan C. Golston	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2023-05-10	Elect Kevin A. Lobo	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2023-05-10	Elect Sherilyn S. McCoy	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2023-05-10	Elect Andrew K. Silvernail	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2023-05-10	Elect Lisa M. Skeete Tatum	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2023-05-10	Elect Ronda E. Stryker	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2023-05-10	Elect Rajeev Suri	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2023-05-10	Ratification of Auditor	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2023-05-10	Advisory Vote on Executive Compensation	Mgmt	Voted	Against	Against
Stryker Corporation	SYK	863667101	2023-05-10	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Stryker Corporation	SYK	863667101	2023-05-10	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Voted	For	Against
Thermo Fisher Scientific Inc.	TMO	883556102	2023-05-24	Elect Marc N. Casper	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2023-05-24	Elect Nelson J. Chai	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2023-05-24	Elect Ruby R. Chandry	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2023-05-24	Elect C. Martin Harris	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2023-05-24	Elect Tyler Jacks	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2023-05-24	Elect R. Alexandra Keith	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2023-05-24	Elect James C. Mullen	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2023-05-24	Elect Lars R. Sorensen	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2023-05-24	Elect Debora L. Spar	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2023-05-24	Elect Scott M. Sperling	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2023-05-24	Elect Dion J. Weisler	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2023-05-24	Advisory Vote on Executive Compensation	Mgmt	Voted	Against	Against
Thermo Fisher Scientific Inc.	TMO	883556102	2023-05-24	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For

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Proxy Voting Record

July 1, 2022 to June 30, 2023

Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	ForAgainst Management?
Thermo Fisher Scientific Inc.	TMO	883556102	2023-05-24	Ratification of Auditor	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2023-05-24	Amendment to the 2013 Stock Incentive Plan	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2023-05-24	Approval of the 2023 Employee Stock Purchase Plan	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2023-06-05	Elect Timothy P. Flynn	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2023-06-05	Elect Paul R. Garcia	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2023-06-05	Elect Kristen Gil	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2023-06-05	Elect Stephen J. Hemsley	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2023-06-05	Elect Michele J. Hooper	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2023-06-05	Elect F. William McNabb, III	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2023-06-05	Elect Valerie C. Montgomery Rice	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2023-06-05	Elect John H. Noseworthy	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2023-06-05	Elect Andrew Witty	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2023-06-05	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2023-06-05	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
UnitedHealth Group Incorporated	UNH	91324P102	2023-06-05	Ratification of Auditor	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2023-06-05	Shareholder Proposal Regarding Racial Equity Audit	ShrHoldr	Voted	For	Against
UnitedHealth Group Incorporated	UNH	91324P102	2023-06-05	Shareholder Proposal Regarding Report on Political Expenditures and Values Congruency	ShrHoldr	Voted	Against	For
UnitedHealth Group Incorporated	UNH	91324P102	2023-06-05	Shareholder Proposal Regarding Severance Approval Policy	ShrHoldr	Voted	For	Against
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2023-05-17	Elect Sangeeta N. Bhatia	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2023-05-17	Elect Lloyd A. Carney	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2023-05-17	Elect Alan M. Garber	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2023-05-17	Elect Terrence C. Kearney	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2023-05-17	Elect Reshma Kewalramani	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2023-05-17	Elect Jeffrey M. Leiden	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2023-05-17	Elect Diana L. McKenzie	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2023-05-17	Elect Bruce I. Sachs	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2023-05-17	Elect Suketu Upadhyay	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2023-05-17	Ratification of Auditor	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2023-05-17	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2023-05-17	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Zoetis Inc.	ZTS	98978V103	2023-05-18	Elect Paul M. Bisaro	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2023-05-18	Elect Vanessa Broadhurst	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2023-05-18	Elect Frank A. D'Amelio	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2023-05-18	Elect Michael B. McCallister	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2023-05-18	Elect Gregory Norden	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2023-05-18	Elect Louise M. Parent	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2023-05-18	Elect Kristin C. Peck	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2023-05-18	Elect Robert W. Scully	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2023-05-18	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2023-05-18	Ratification of Auditor	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2023-05-18	Amendment Regarding Ownership Threshold for Shareholders to Call a Special Meeting	Mgmt	Voted	Abstain	Against
Zoetis Inc.	ZTS	98978V103	2023-05-18	Shareholder Proposal Regarding Right to Call Special Meeting	ShrHoldr	Voted	For	Against